

# NEW MEDICAL DEVICE MANUFACTURING LICENSE APPLICATION

**PLEASE COMPLETE THIS FORM FULLY—INCOMPLETE APPLICATIONS WILL BE RETURNED**

*See page 2 for instructions*

NEW APPLICANT     RELOCATION     OWNERSHIP CHANGE     OWNERSHIP AND LOCATION CHANGE

1. Legal Name of Firm			9. Facility Operator (name and title)		
2. DBA (List additional DBA's on separate sheet if necessary.)			10. Facility Telephone Number (      )		11. Facility FAX Number (      )
3. Facility Address (number, street)			12. 24-Hour Emergency Telephone Number (      )		13. E-mail Address
4. Facility Address (continued)			14. Correspondent (name and title)		
5. City	State	ZIP Code	15. Correspondent Telephone Number (      )		16. Correspondent FAX Number (      )
6. Mailing Address (if different or P.O. Box number)			17. County		
7. Mailing Address (continued)			18. Website (URL)		
8. City	State	ZIP Code	19. Interstate Commerce <input type="checkbox"/> Product Shipped <input type="checkbox"/> Product or Raw Materials Received <input type="checkbox"/> N/A		
20. Type of Ownership: <input type="checkbox"/> Individual/Sole Proprietorship <input type="checkbox"/> Partnership <input type="checkbox"/> Corporation/Limited Liability Company <input type="checkbox"/> Nonprofit <input type="checkbox"/> Other: _____					
21. Corporate Name (if applicable)			State of Incorporation		
22. Owners' or Officers' Names and Titles			Owners' or Officers' Names and Titles (Attach separate sheet if necessary)		

23. Previous California Department of Public Health – Food and Drug Branch License Number(s): \_\_\_\_\_

24. Type of Manufacturing Business (check all that apply)  Manufacturer     Contractor     Component     Specification Developer     Other: \_\_\_\_\_  
 Size of Facility (square feet): \_\_\_\_\_    Number of Employees at this facility: \_\_\_\_\_  
 Business days and hours: \_\_\_\_\_

25. Stage of Manufacture at Date of Application (check all that apply)  
 Manufacturing Products     Design Development     Design Validation     Pre-production Design Transfer     Other: \_\_\_\_\_

26. Intended Device Destination (check all that apply)  
 Investigational Studies     Export Market     California Distribution     U.S. Distribution     Other: \_\_\_\_\_

27. Check Each Product Area that Applies to the Devices Manufactured

<input type="checkbox"/> 862 Clinical Chemistry and Clinical Toxicology	<input type="checkbox"/> 874 Ear, Nose, and Throat	<input type="checkbox"/> 886 Ophthalmic
<input type="checkbox"/> 864 Hematology and Pathology	<input type="checkbox"/> 876 Gastroenterology/Urology	<input type="checkbox"/> 888 Orthopedic
<input type="checkbox"/> 866 Immunology and Microbiology	<input type="checkbox"/> 878 General and Plastic Surgery	<input type="checkbox"/> 890 Physical Medicine
<input type="checkbox"/> 868 Anesthesiology	<input type="checkbox"/> 880 General Hospital and Personal Use	<input type="checkbox"/> 892 Radiology
<input type="checkbox"/> 870 Cardiovascular	<input type="checkbox"/> 882 Neurological	
<input type="checkbox"/> 872 Dental	<input type="checkbox"/> 884 Obstetrical and Gynecological	

28. List the types of classified and/or unclassified manufactured devices in the spaces below. Use additional sheets if necessary.

Federal Classification Title	Classification (Check One)		
	I	II	III

29. Identify processes employed or planned in the manufacture of the devices listed above and if activities will be done in-house or by contract. Use additional sheets if necessary.

Process/Activities	In-House	Contract	Process/Activities	In-House	Contract
Sterilization			Repackaging/Relabeling		
Software Development			Remanufacturing/Refurbishing		
Circuit Board Assembly			Tissue/Cell Culture		
Lyophilization			Other:		
Antigen/Antibodies					

30. Payment Code  A—\$2,080 (Fee is due at the time application is submitted and is Non-Refundable)

31. Please attach:  Evidence of ownership **and** one of the following:

- A copy of a valid biologics license issued by the U.S. Food and Drug Administration (FDA)
- A copy of a valid establishment registration pursuant to Section 510 of the federal act **and** an attestation that a federal inspection was completed within the last two years
- A copy of documentation demonstrating compliance with audits conducted pursuant to International Organization for Standardization (ISO) ISO standards (ISO 9000 series, ISO 13485:2003, ISO 15378:2006)
- A copy of approved investigational device exemption issued by the FDA

**The Food and Drug Branch MUST BE NOTIFIED of any change in the application information as provided by California Health and Safety Code §111630. By signature, I declare under penalty of perjury that all information provided herein, including any supplemental documentation hereto, is true and correct.**

32. Signature of Applicant \_\_\_\_\_ Printed name \_\_\_\_\_ Title \_\_\_\_\_ Date \_\_\_\_\_

**PLEASE DO NOT WRITE BELOW THIS LINE.**

License Number	Expiration Date	Date Received	Payment Type	Amount \$
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# New Medical Device Manufacturing License Application Instructions

A separate application is required for each place of business. Please complete and/or amend this application as is most appropriate to your facility. Include the appropriate fee for each application as indicated in the fee schedule and make payable to: CA DEPARTMENT OF PUBLIC HEALTH. This fee must accompany this application or the application cannot be processed. **Unsigned or incomplete applications cannot be processed.** The following are further instructions on how to complete this application:

**New Applicant:** Place an (X) in the box next to New Applicant if your firm has **not** previously applied for a Medical Device Manufacturing License at this location while under the current ownership. **This license is non-transferable.** If your firm has changed location, ownership, or both, place an (X) in the box adjacent to the appropriate response and also in the box next to New Applicant. Any questions that do not apply to your company indicate with N/A. **Do not leave any sections blank.**

1. **Legal Name of Firm:** Enter full name of business, corporation, company, or organization applying for licensure.
2. **DBA:** Enter any other name(s) your company is doing business as.
- 3.–5. **Facility Address:** Enter the number, street, city, state, and ZIP code for this facility location.
- 6.–8. **Mailing Address:** Enter the full mailing address if different from the facility or P.O. Box.
9. **Facility Operator:** Enter the full name of the person who is responsible for the manufacturing of medical devices at this facility and their title.
10. **Facility Telephone Number:** Enter daytime business telephone number of this facility.
11. **Facility FAX Number:** Enter facility FAX number.
12. **24-Hour Emergency Telephone Number:** Enter telephone number to be called in the event of an emergency.
13. **E-mail Address:** Enter facility or correspondent's email address.
14. **Correspondent:** Enter the name of the person to contact for information regarding this application and their title.
15. **Correspondent Telephone Number:** Enter the daytime business telephone number of the contact person.
16. **Correspondent FAX Number:** Enter the daytime business FAX number of the contact person.
17. **County:** Enter the country where your facility is located.
18. **Website:** Enter the website address for your business if applicable.
19. **Interstate Commerce:** Place an (X) in the boxes that correctly describe your business' receipt or distribution of products or materials through or into interstate commerce.
20. **Type of Ownership:** Place an (X) in the box next to the appropriate legal description of the facility's ownership.
21. **Corporate Name:** Enter corporate name if applicable. Enter the state of incorporation if applicable.
22. **Owner's or Officer's Names:** List the business owners' or officers' names and titles.
23. **List previous California Department of Public Health – Food and Drug Branch License Number(s)** if applicable.
24. **Type of Manufacturing Business:** Place an (X) in the box next to each type of manufacturing business conducted at this facility, size of facility, number of employees, and list business days and hours.
25. **Stage of Manufacture:** Place an (X) in the box next to the stage of manufacture your products are in at the time of application submission. Check all that apply.
26. **Intended Device Destination:** Place an (X) in the box adjacent to the destination(s) for your manufactured products. Check all that apply.
27. **Products Manufactured:** Place an (X) in the box adjacent to each product that applies to the devices manufactured or to be manufactured.
28. **Classified or Unclassified Devices Manufactured:** For each medical device product, list the federal classification name and classification category (I, II, or III) as listed in 21 CFR, Sections 862 to 892.  
If not known or if thought to be unclassified, please provide your best description for each device. Use additional sheets if necessary.
29. **Manufacturing Processes:** Place an (X) in the column adjacent to any indicated processes to identify if they will be done in-house or contracted out. Leave line blank if the indicated process will not be used in the manufacture of listed devices. List additional processes or methods as needed herein or on additional sheets, if necessary.
30. **Payment Codes:** Please submit license fee based on the application type below. **(License is valid for one year.)**

<i>Application Type</i>	<i>License Fee</i>	<i>Payment Interval</i>	<i>Payment Code</i>
New, Relocation or Ownership Change	\$2,080	First license only	A

**\*\* LICENSE FEES ARE NON-REFUNDABLE AND NON-TRANSFERABLE TO OTHER LOCATIONS OR ENTITIES**

31. Attach **Evidence of Ownership and U.S. Food and Drug Administration (FDA) or International Organization for Standardization (ISO) Standards Documents** and place an (X) in the appropriate box(es) for the items that you are submitting with this application. For more information regarding this requirement, please refer to the California Health and Safety Code Section 111635.
32. **Sign the application, print your name, print your title, and enter the date. All signatures must be original.**

*MAKE CHECKS PAYABLE TO:* DEPARTMENT OF PUBLIC HEALTH    *MAIL APPLICATION AND CHECK TO:*

**Regular Mail:** California Department of Public Health  
Food and Drug Branch - Cashier  
MS 7602  
P.O. Box 997435  
Sacramento, CA 95899-7435

**Overnight Mail:** California Department of Public Health  
Food and Drug Branch - Cashier  
1500 Capitol Avenue, MS-7602  
Sacramento, CA 95814

If you have questions about this application, please contact the Food and Drug Branch, Medical Device Manufacturing Licensing Desk at (916) 341-7354, (800) 495-3232.